+

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION **TRANSMITTAL** 

Attorney Docket No. 016021001010				
First Ir	Inventor Hackett, Jr., William			
Title	SYSTEMS AND METHODS FOR A SAFETY CIRCUIT FOR AN ELECTRIC MOTOR			

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) | Express Mail Label No. | EL 827035950 us

First li	nventor	Hackett, Jr., William
Title	SYSTEMS AND ELECTRIC MOT	METHODS FOR A SAFETY CIRCUIT FOR AN OR
_		

	ΔΡΡΙΙ	CATION ELEM	IENTS	1	<b></b>		Commissioner for Patents	
See MPEP chapter 600 concerning design patent application contents.			ADDR	ADDRESS TO Box Patent Application Washington, DC 20231				
1. \( \begin{align*} \text{Fee Transmittal Form (e.g., PTO/SB/17)} \\ (Submit an original and a duplicate for fee processing) \\ 2. \( \begin{align*} \text{Applicant claims small entity status.} \\ \text{See 37 CFR 1.27.} \\ 3. \( \begin{align*} \text{Specification} & [Total Pages \ 8 \] \\ \text{(preferred arrangement set forth below)} \\ \text{- Descriptive title of the Invention} \\ \text{- Cross References to Related Applications} \\ \text{- Statement Regarding Fed sponsored R & D} \\ \text{- Reference to sequence listing, a table, or a computer program listing appendix} \\ \text{- Background of the Invention} \end{arrangement} \]				7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper number of pages c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS				
-  -  -1	- Brief Summary of the Invention - Brief Description of the Drawings ( if filed) - Detailed Description - Claim(s)			10. 37 C.F.R.§3.73(b)Statement Power of (when there is an assignee) Attorney Unsigned				
-,	Abstract of the Dis			11.	English Trai	nslation Doc	ument (if applicable)	
4. ☑ Drawing(s) (35 U.S.C.113) [Total Sheets 1 ]  5. Oath or Declaration (unsigned) [Total Pages 1 ]			12.	12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations				
a. Newly executed (original or copy)			13.	•	Amendment	(44000 500)		
b. Copy from a prior application (37 CFR 1.63 (d))			14. ⊠	<ol> <li>Return Receipt Postcard (MPEP 503)   (Should be specifically itemized)</li> </ol>				
(for a continuation/divisional with Box 18 completed) i. □ DELETION OF INVENTOR(S)			15. 🗌	15. Certified Copy of Priority Document(s)				
* _	Signed stateme	nt attached deleting in	ventor(s)	16.	(if foreign priority is claimed) 16. ☐ Request and Certification under 35 U.S.C.			
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent				
6. X Application Data Sheet. See 37 CFR 1.76				17. 🔲				
19 If a CON	TINI IING APPI IO	ATION check appro	nriate hoy, and suppl	v the requisi	te information	helow and in	a preliminary amendment,	
		eet under 37 CFR 1.		y and requies		20.011 0.10 1.1	a prominanty amonament,	
☐ Conti	_	<del>-</del>	☐ Continuation-in-par	(CIP)		r application N Art Unit:	o:/	
For CONTIN	olication information	SIONAL APPS only: T	he entire disclosure	of the prior a	application, fro	m which an c	– ath or declaration is supplied	
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
			19. CORRESPO					
☑ Custon	ner Number or Ba		203 sert Customer No. or A		e label here)	or 🛘 C	orrespondence address below	
Name								
Address								
71dd/005				···				
City			State			Zip Code		
Country			Telephone			Fax		
Name (Pr	rint/Type)	Kevin T. LeMond	1	Registratio	Registration No. (Attorney/Agent) 35,933			
Signature		Len	-lelly	~/		Date	4/18/01	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. SF 1214135 v1

XPRESS MAIL # FL 827035950 LIS

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Ţ

T

T.

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

\*\*or number previously paid, if greater; For Reissues, see above

Complete if Known			
Application Number	Not Yet Assigned		
Filing Date	April 19, 2000		
First Named Inventor	Hackett, Jr., William		
Examiner Name	Not Yet Assigned		
Group Art Unit	Not Assigned Yet		
	040004004040		

475 016021001010 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge  $\boxtimes$ 1. indicated fees and credit any over payments to: Large Entity Small Entity Fee Fee Fee Description Deposit Code (\$) Code (\$) Paid 20-1430 Account Surcharge - late filing fee or oath 105 130 205 65 Number 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. Deposit 139 130 139 130 Non-English specification Townsend and Townsend and Crew LLP Account 147 2,520 147 2,520 For filing a request for reexamination Requesting publication of SIR prior to Charge Any Additional Fee Required 112 920\* 112 920\* Under 37 CFR 1.16 and 1.17 Examiner action Applicant claims small entity status. 113 1,840\* 113 1,840\* Requesting publication of SIR after See 37 CFR 1.27 Examiner action 2. 🔲 Payment Enclosed: 115 110 215 55 Extension for reply within first month Extension for reply within second 116 390 216 195 ☐ Check □ Credit card ■ Money ☐ Other month Orde 117 890 217 445 Extension for reply within third month **FEE CALCULATION** 1,390 695 Extension for reply within fourth 118 218 month 1. **BASIC FILING FEE** 128 1.890 228 945 Extension for reply within fifth month Large Entity **Entity Small** 155 Notice of Appeal 119 310 219 Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 120 310 220 155 Filing a brief in support of an appeal 355 101 710 201 355 Utility filing fee 121 270 221 135 Request for oral hearing 160 Design filing fee 106 320 206 Petition to institute a public use 138 1,510 138 1,510 107 207 245 Plant filing fee proceeding 490 110 55 Petition to revive - unavoidable 140 240 355 Reissue filing fee 108 710 208 141 1.240 241 620 Petition to revive - unintentional 114 150 214 75 Provisional filing fee 142 1.240 242 620 Utility issue fee (or reissue) SUBTOTAL (1) (\$)355 143 243 Design issue fee 440 220 144 600 244 300 Plant issue fee 2. EXTRA CLAIM FEES 122 Petitions to the Commissioner 122 130 130 Extra Fee from Fee Petitions related to provisional Claims below Paid 123 50 123 50 applications Total Claims 18 -20\*\* 0 хΓ \$9 \$0 Submission of Information Disclosure Independent 126 180 126 180 3 \$40 \$120 6 -3\*\* Claims Recording each patent assignment Multiple 581 40 581 40 per property (times number of Dependent properties) Large **Entity** Small **Entity** 146 710 246 355 Filing a submission after final rejection (37 ČFR § 1.129(a)) Fee Fee Fee Description Code (\$) Code (\$) 149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b)) 103 18 203 Claims in excess of 20 Independent claims in excess of 3 102 80 202 40 179 710 279 355 Request for Continued Examination (RCE) 104 270 204 135 Multiple dependent claim, if not paid 169 900 169 900 Request for expedited examination \*\* Reissue independent claims over 109 80 209 40 of a design application original patent \*\* Reissue claims in excess of 20 and Other fee (specify) 110 18 210 over original patent The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. SUBTOTAL (2) (\$)120 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Kevin T. LeMond	Registration No. (Attorney/Agent)	35,933	Telephone	415-576-0200	
Signature	Ken	- [ Mon	/	Date	4/18/01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.